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实用专利或设计专利申请宣誓或声明的替代声明 (35 U.S.C. 115(d) 和 37 CFR 1.64)
SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

发明名称 Title of Invention		
本声明指: This statement is directed to: <input type="checkbox"/> 随附的申请, The attached application, 或者 OR <input type="checkbox"/> 美国申请号码或 PCT 国际申请号码 _____ 备案于 _____。 <input type="checkbox"/> United States application or PCT international application number _____ filed on _____.		
本替代声明适用的发明人依法登记的姓名: LEGAL NAME of inventor to whom this substitute statement applies: (例如: 姓氏和名字) (E.g., Given Name (first and middle (if any)) and Family Name or Surname)		
居住地 (除已故或依法丧失行为能力的发明人): Residence (except for a deceased or legally incapacitated inventor):		
城市 City	州/省 State	国家/地区 Country
邮寄地址 (除已故或依法丧失行为能力的发明人): Mailing Address (except for deceased or legally incapacitated inventor):		
城市 City	州/省 State	国家/地区 Country
本人相信, 以上署名的发明人或联合发明人是该申请中所主张发明的原发明人或原联合发明人。 I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application. 上述申请由本人提交或授权提交。 The above-identified application was made or authorized to be made by me. 本人兹此承认, 此陈述中若有任何故意的虚假陈述, 本人将根据 18 U.S.C. 1001 的规定被处以罚款或五 (5) 年以下有期徒刑, 或者两罚并施。 I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.		
与本替代声明适用的发明人之间的关系: Relationship to the inventor to whom this substitute statement applies: <input type="checkbox"/> 法定代理人 (仅适用于已故或依法丧失行为能力的发明人), Legal Representative (for deceased or legally incapacitated inventor only), <input type="checkbox"/> 受让人, Assignee, <input type="checkbox"/> 发明人有义务指定的人, Person to whom the inventor is under an obligation to assign, 表明有足够专利权益的人 (要求根据 37 CFR 1.46 呈请), <input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or <input type="checkbox"/> 联合发明人。 Joint Inventor.		

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This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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替代声明
SUBSTITUTE STATEMENT

下列情况允许执行此替代声明:

Circumstances permitting execution of this substitute statement:

- 发明人死亡,
Inventor is deceased,
- 发明人依法无行为能力,
Inventor is under legal incapacity,
- 尽最大努力仍无法找到或联系到发明人,
Inventor cannot be found or reached after diligent effort, or
- 发明人已拒绝根据 37 CFR 1.63 执行宣誓或声明。
Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

若存在联合发明人, 请勾选下列相应方框:

If there are joint inventors, please check the appropriate box below:

- 已提交或正在提交包含整个发明实体命名的 37 CFR 1.76 申请信息表 (PTO/AIA/14 或同等信息表)。
An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.
- 或者
OR
- 未提交 37 CFR 1.76 申请信息表 (PTO/AIA/14 或同等信息表)。因此, 随附了命名整个发明实体并包含发明人信息的替代声明补充表 (PTO/AIA/11 或同等表格)。参见 37 CFR 1.64(b)。
An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

警告:
WARNING:

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此替代声明的执行人:

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

姓名: Name:	日期 (选填): Date (Optional):
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签名:
Signature:

居住地 (除非已在申请信息表 PTO/AIA/14 或同等信息表中填写):
Residence (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

城市 City	州/省 State	国家/地区 Country
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邮寄地址 (除非已在申请信息表 PTO/AIA/14 或同等信息表中填写)
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城市 City	州/省 State	国家/地区 Country
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注意: 对于每位已故、依法丧失行为能力、尽最大努力仍无法找到或联系到的、或已拒绝根据 37 CFR 1.63 执行宣誓或声明的发明人, 均需使用 PTO/AIA/02 表格。

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.